

Once completed, you can email this to us at investorsupport@octopusinvestments.com, or post to: **Octopus Investments Limited**, PO Box 10847, Chelmsford CM99 2BU.

Section 1 – About the Attorney(s)

First Attorney

Title

First name(s)

Last name

Date of birth (dd mm yyyy)

National Insurance number

Country of birth

Country(ies) of citizenship

Nationality (if you hold dual nationality or are not a British national, we may contact you for further information)

Telephone numbers (include country code)

Primary

Secondary

Email address

Address

Country

Postcode

Second Attorney (if applicable)

Title

First name(s)

Last name

Date of birth (dd mm yyyy)

National Insurance number

Country of birth

Country(ies) of citizenship

Nationality (if you hold dual nationality or are not a British national, we may contact you for further information)

Telephone numbers (include country code)

Primary

Secondary

Email address

Address

Country

Postcode

Is this an existing Octopus investor?

☐ Yes ☐ No

Portfolio number(s) (existing investors only)

Investor name (you are acting on behalf of)

Investor date of birth (dd mm yyyy)

Who should we post correspondence to? (select one option)

☐ The investor ☐ First Attorney ☐ Second Attorney

What email should we use for correspondence? (select one option)

Please note: Please select **one** email address. We can only send correspondence and provide portal access to one person.

If you choose an Attorney, the Investor will not receive confirmation of the POA registration.

☐ The investor ☐ First Attorney ☐ Second Attorney

Would you like the Power of Attorney (POA) documents returned to you?

☐ Yes – please provide the address below ☐ No

Address

Country

Postcode

Tax residency status

We are legally required to collect information about the tax residency and classifications of each investor and Attorney(s) which may be shared with HM Revenue & Customs, and may be transferred to the government of another territory in accordance with a relevant agreement. If you have any questions about tax residence, we recommend that you contact your adviser.

1.1 Are you a tax resident in any country outside the UK, or do you complete tax returns in any other country?

First Attorney

Second Attorney (if applicable)

☐ No – please tick this box and go straight to **Section 2**.

☐ No – please tick this box and go straight to **Section 2**.

If your country of birth or country of citizenship is outside of the UK, please send us a certified copy of a valid UK ID, such as a UK driving licence or passport, or a certified letter from HMRC, to confirm your UK tax residency status.

☐ Yes – **please complete 1.2 below**.

☐ Yes – **please complete 1.2 below**.

1.2 Please list the country(ies), other than the UK, in which you are resident for tax purposes

Please provide the Taxpayer Identification Number (TIN) or functional equivalent for each country(ies), or tick the relevant box if the country does not provide a TIN. **The TIN is required if you are a tax resident in another country.**

Power of Attorney name

Country

Taxpayer Identification Number (TIN)

TIN not available

☐

Power of Attorney name

Country

Taxpayer Identification Number (TIN)

TIN not available

☐

Power of Attorney name

Country

Taxpayer Identification Number (TIN)

TIN not available

☐

Power of Attorney name

Country

Taxpayer Identification Number (TIN)

TIN not available

☐

Section 2 – Documentation

Please confirm which documents you are providing.

I am providing:

☐ An original POA document. **OR**

☐ A certified copy of the POA document.

Please note, the certification must be on every page with a wet signature, printed name and date of certification by either:

- A Financial Adviser (active on the FCA register) **OR**
- A Chartered Legal Executive (active on the CILEX Regulation Directory) **OR**
- A Solicitor (active on the Law Society/SRA Register) **OR**
- A Notary Public (active on the Notaries Society register) **OR**
- The Donor (if they're still able to make their own decisions) **OR**

☐ An LPA access code: V-

An LPA access code can be used in place of the copy of POA documents. Please see www.gov.uk/use-lasting-power-of-attorney for more information. **OR**

☐ A Court of Protection document. The document must be embossed.

Section 3 – Identity verification (to be completed by adviser/intermediary)

You can use this section to provide identity verification for a private individual.* **If you don't complete this section we'll need you to provide us with an Identity Verification Certificate (IVC) or certified copies for each Attorney's identification.**

By completing this section, you agree that on request from Octopus Investments, you will make the following immediately available:

- Any information about the customer (and any beneficial owner) that you obtained when applying client due diligence measures. These might be things like identity documents, utility bills or bank statements.
- Copies of any identification and verification data or documents on the identity of the customer (and any beneficial owner).

First Attorney

I AGREE THAT:

- The information in **Section 1** above was obtained by me in relation to the customer.
- The evidence that I have obtained to verify the identity of the first Attorney (**tick one box only**).
 - ☐ Meets the standard evidence set out within the guidance for the UK financial sector issued by the joint money laundering steering group.
 - OR**
 - ☐ Exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation).

Adviser/intermediary signature

Adviser/intermediary name

Job title

Date signed (dd mm yyyy)

Second Attorney (if applicable)

I AGREE THAT:

- The information in **Section 1** above was obtained by me in relation to the customer.
- The evidence that I have obtained to verify the identity of the second Attorney (**tick one box only**).
 - ☐ Meets the standard evidence set out within the guidance for the UK financial sector issued by the joint money laundering steering group.
 - OR**
 - ☐ Exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation).

Adviser/intermediary signature

Adviser/intermediary name

Job title

Date signed (dd mm yyyy)

*This form cannot be used to verify the identity of any customer that falls into one of the following categories:

- Those who are exempt from verification because they are an existing client of the advisory firm prior to the introduction of the requirement for such verification.
- Those who have been subject to simplified due diligence under the money laundering regulations.
- Those whose identity has been verified using the source of funds as evidence.

Section 4 – Declaration (to be completed by the Attorney(s) named in Section 1)

I declare that to the best of my knowledge and belief, the information I have provided in this form is true, correct and complete.

I understand that the information contained in this form and any information about the Investor and Attorney may be reported to the tax authorities of the country in which these accounts are maintained. They may also be exchanged with tax authorities of another country or countries in which the Attorney is a tax resident depending on the Intergovernmental Agreements (IGAs) that are in place.

First Attorney

Attorney signature

Attorney name

Date signed (dd mm yyyy)

Second Attorney (if applicable)

Attorney signature

Attorney name

Date signed (dd mm yyyy)

We have a range of ways to help make our communications accessible. If you'd like to know more, please get in touch.



0800 316 2295

investorsupport@octopusinvestments.com
octopusinvestments.com



Send completed form to:
Octopus Investments
PO Box 10847
Chelmsford CM99 2BU

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